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|--------------------------------|------------------------------------------------------------------------------------------|-------------------|
| Customer No. | 026418 | |
| Attorney's Docket No.: | JG-SU-5047 / 500577.20023 | |
| U.S. Application No.: | 09/786963 | |
| International Application No.: | PCT/JP99/04899 | |
| International Filing Date: | SEPTEMBER 09, 1999 | 09 SEPTEMBER 1999 |
| Priority Date Claimed: | SEPTEMBER 11, 1998 | 11 SEPTEMBER 1998 |
| Title of Invention: | SMOKING METHOD AND APPARATUS THEREFOR | |
| Applicant(s) for (DO/EO/US): | Mamoru MIYAMORI, Hiroshi HOSHINO, Toshihiko MARUYAMA, Masao HIGUCHI and Hiroshi SAITO | |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [X] 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- [] 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- [] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- [] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- [X] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
- a) x is transmitted herewith (required only if not transmitted by the International Bureau)
- b) has been transmitted by the international Bureau
- c) is not required, as the application was filed in the United States Receiving Office (RO/US)
- [X] 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]
- [] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
- a) are transmitted herewith (required only if not transmitted by the International Bureau)
- b) have been transmitted by the International Bureau
- c) have not been made; however, the time limit for making such amendments has **NOT** expired.
- d) have not been made and will not be made
- [] 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- [] 9. An Executed Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **Executed Decl/POA TO FOLLOW**
- [] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. to 16. Below concern other document(s) or information included:

- [X] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- [] 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- [] 13. X A **FIRST** preliminary amendment
- A **SECOND** or **SUBSEQUENT** preliminary amendment
- [] 14. A substitute specification
- [] 15. A change of power of attorney and/or address letter
- [X] 16. (other items or information) **PCT/RO/101, PCT/IB/301 30SEP99, PCT/IB/304 30NOV99, Search Report (PCT/ISA/210) 14DEC99, PTO-1449 w/2 cited refs. and 2 English Abstracts , PCT/IB/308 23MAR00, 1ST PAGE OF WO 00/15052 23MAR00, PCT/IPEA/401, PCT/IB/322 18APR00, PCT/IB/306 12OCT00 w/Notification of Change of Applicant.**

EXPRESS MAIL No.: **EL 758 525 920 US**

Deposited: **March 12, 2001**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.

Ruth Montalvo

/Ruth Montalvo Date: **March 12, 2001**

U.S. Application No. (if known, see 37 C.F.R. 1.50):
International Application No.: PCT/J 04899

Attorney's Do No: JG-SU-5047 / 500577.20023

09/786963

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[X] 17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

[X] Search Report has been prepared by the EPO or JPO..... \$ 860.00
[] International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 690.00
[] No International preliminary examination fee paid to USPTO [37 CFR 1.482]
but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 710.00
[] Neither International preliminary examination fee [37 CFR 1.482] nor
International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 1,000.00
[] International preliminary examination fee paid to USPTO [37 CFR 1.482]
and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$860.00

| Claims | Number Filed | | Number Extra | Rate | |
|-------------------------------------------------------------|-----------------|-----|-----------------|------------|----------|
| Total Claims | 32(16) | -20 | 12 | x \$ 18. = | \$216.00 |
| Indep. Claims | 6 | -03 | 3 | x \$ 80. = | \$240.00 |
| [X] Multiple Dependent Claim(s) (if applicable) + \$ 270. = | | | | | \$270.00 |

TOTAL OF ABOVE CALCULATIONS: \$1,586.00

Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the
earliest claimed priority date [37 CFR 1.492(e)]

TO FOLLOW

TOTAL OF ABOVE CALCULATIONS: \$1,586.00

Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity

SUBTOTAL: \$1,596.00

Processing fee of \$130.00 for furnishing the English Translation later than [] 20 [] 30 months from
the earliest claimed priority date [37 CFR 1.492(f)]

TOTAL NATIONAL FEE: \$1,586.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied
by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31] \$ 40.00 per property +

TOTAL FEE(S): \$1,586.00

AMOUNTS TO BE
REFUNDED OR CHARGED

REFUNDE
CHARGE \$

[X] Check in the amount of \$ 1,586.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to
charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a)
or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Jules E. Goldberg, Esq. (Customer No. 026418)

Reed Smith LLP
375 Park Avenue
New York, NY 10152

Jules E. Goldberg
Name (Tel. (212) 521-5400)

Signature

24,408
Reg. No.

March 12, 2001
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re International Application of

Mamoru Miyamori et al.

International Serial Number: PCT/JP99/04899

International Filing date: September 9, 1999

For: SMOKING METHOD AND APPARATUS THEREFOR

VERIFICATION OF TRANSLATION

Honorable Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

I, Masayoshi Suda residing at Suda Patent Office, Shinseiwa-Ikebukuro Bldg., 4th Floor, 24-3, Higashi-Ikebukuro 1-chome, Toshima-ku, Tokyo Japan, declare:

- (1) that I know well both the Japanese and English languages;
- (2) that I translated the above-identified International Application from Japanese into English;
- (3) that the attached English translation is a true and correct translation of the above-identified International Application to the best of my knowledge and belief; and
- (4) that all statements made of my knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.C. 1001 and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

February 13, 2001
Date

Masayoshi Suda
Masayoshi Suda